

Securities Class Action Services

Industry Leading Securities Litigation Research and Claims Filing Services

Billions of dollars each year are recoverable by investors in securities class action law suits. Yet, the reality is that in many cases well under 50% of eligible claims are filed. In other words, investors are leaving millions if not billions of settlement dollars on the table. Not only do institutional investors have a fiduciary responsibility to monitor such suits and file claims on behalf of their clients, but it makes good business sense to recover substantial assets lost due to fraud.

SCAS uniquely combines comprehensive securities class action coverage, subject matter expertise and operational excellence to provide investors with a fully outsourced solution.

Why SCAS?

Established Expertise

Industry's longest case database leading filer

Client Service

Team of 17 dedicated professionals with 2 JDs and 5 MBAs on staff

▶ Relationships

Deep relationships with custodians, prime brokers, law firms and claims administrators

Security

SAS 70 Type II audit of security controls

RESEARCH

Online database of securities class action litigation, covering more than 20 years of detailed historical class action data

Complete research and analysis on all federal, state and international securities class actions as well as SEC disgorgements.

Global research coverage with particular emphasis on countries that authorize securities class actions by law (including, but not limited to, Australia, Canada, Germany, Israel, Netherlands, Denmark, Norway, Sweden, South Korea, and Taiwan).

FILING

Fully outsourced filing services for asset recovery

Dedicated account management support

Complete monthly, quarterly and annual reporting on all filing activity

Filing Coverage:

- ►U.S.
- ►Canada
- Netherlands

SCAS Service Highlights

Research

Unprecedented coverage of state, federal, and international securities class actions available via a unique proprietary online platform.

Claims Filing

Comprehensive claims filing for equity and debt securities in the US, Canada, and the Netherlands.

Notification

E-mail and web-based alerts on new cases and case developments across the globe.

International Alerts

Portfolio specific e-mail alerts of new international actions and case developments.

Monthly Reporting And Industry Coverage

Monthly filing reports covering their filing activity. Expert analysis on industry trends through the SCAS newsletter and Securities Litigation Watch blog.

Disbursement Tracking

Tracking of disbursements data via a quarterly disbursement report.

Look-Back Service

Provides a diagnostic review of onboarding client data for potential missed filings in the past.

Options Filing

SCAS can provide options filing as a custom filing service.



Online Portfolio Specific Tracking With Loss Calculation

Online platform allows clients to see the class actions they have potential eligibility for and they can access SCAS loss calculator, which helps them decide whether to serve as lead plaintiff by providing multiple methodologies for calculating portfoliospecific securities losses.

Custom Solutions

SCAS routinely provides clients with custom solutions to meet their specific needs.

SCAS Key Facts:

SCAS Database

To date the SCAS database contains more than 6,300 cases, 3,700 settlements, and over 40,000 unique security identifiers.

Filings

By far the industry leading filer, in 2010 SCAS filed more than 495,000 securities class action claims on behalf of clients.

Recoveries

SCAS averages over \$1 billion a year in recoveries for its clients.

Subject Matter Expertise

SCAS data and subject matter expertise are routinely cited in reputable new publications, studies, and research sites to include the Wall Street Journal, ABC News, The American Lawyer, Cornerstone Research, NERA Economic Consulting, and the Securities Docket.

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Brussels: +32(2) 674 7650 Chicago: +1 312.977.1707 London: +44 (0) 20.7618.2000 Melbourne: +61 3 9642.2062 New York: +1 212.981.7475 Toronto: +1 416.364.9000 Paris: +33 1 5679. 0728 Rockville: +1 301.556.0540 San Francisco: +1 415.216.2882 Singapore: +65 6826.9339 Tokyo: +81 3 5275.7821

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